# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

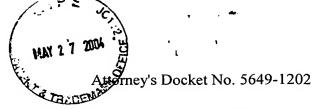
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Su-jin Ahn et al. Serial No.: 10/696,615 Filed: October 28, 2003

Group Art Unit: 2811 Confirmation No. 6846

Publication No.: US 2004/0084698-A1

For: Semiconductor Memory Devices Having Offset Transistors

and Methods of Fabricating the Same

Date: May 25, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR REPUBLICATION

Sir:

Pursuant to CFR §1.221(b), Applicants request the republication of the above-referenced patent application because the United States Patent and Trademark Office ("the USPTO") has made a material mistake, namely the listing of an incorrect filing date, which is apparent from Office records. This request is timely filed within two months of the date of publication (which was May 6, 2004).

More particularly, Applicants submit that the Filing Receipt that was issued by the USPTO on January 29, 2004 contained the incorrect filing date of October 29, 2003. The application was filed on October 28, 2003. A Petition under 37 C.F.R. § 1.10(e), along with supporting documentation, was filed on February 10, 2004 to accord the proper filing date of October 28, 2003 (copy enclosed). Applicants received a stamped postcard indicating the USPTO received the Petition on February 12, 2004 (copy enclosed).

Applicant continues to await the decision of the Petition filed on February 10, 2004. In the meantime, the application published with the incorrect filing date. Applicant respectfully requests republication of the application with October 28, 2003 as the filing date.

No fee is believed to be due in connection with the filing of this Request for Republication. However, the Commissioner is authorized to charge Deposit Account No. 50-0220 for any required fee that may be due.

Respectfully submitted,

D. Randal Ayers

Registration No. 40,493

In re: Su-jin Ahn et al. Serial No. 10/696,615 Filed: October 28, 2003

Page 2 of 2

Myers Bigel Sibley & Sajovec, P.A.

P. O. Box 37428

Raleigh, North Carolina 27627 Telephone: (919) 854-1400 Facsimile: (919) 854-1401 Customer Number 20792

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Paţents, P.O. Box 1450, Alexandria VA 22313 on May 25, 2004.

Michele P. McMahan

3 E JA	• 1	•	•	
	•	4		
MAY 2 7 DOM BY				The second secon
LA TRIDERING	Office of Ratent Legal Commissioner for Patents Of P.O. Box 1450		MM Date: 2/10/ Doc. No. 304	04 9-1202
	Alexandria, VA 22313-1450	02-46-04:	Scrial No. 1010 Inventor: Aho	96, iels et al.
			anying items listed belo	w
	by placing your receiv	ing stamp hereon and	I return mailing:	
	Application Transmittal		Check \$	
	Specification pages	_/, ۱۰ م	IDS & PTO-1449 & _	refs.
	No. of Claims		Amendment & Amend	d. Transmittal
and the contract of the last	Declaration & POA	rca 1 2 2004 0	Preliminary Amendme	ent
	Assignment and Fee	FEB 1 2 DOOR	Issue Fee	
	Small Entity Statemen		Brief	
		Thects AND	Appeal	
	Associate Power of At	to ne PADE	Submittal of Priority I	Doc.
•	Other: Pet hon Una	st Class Mail Ler 37 CFR	1.10(e); Supple	orting
	- RUCUMENTINE	espectfully submitted		
			, EY & SAJOVEC, P.A.	

Attorneys for Applicant

Attorney's Docket No. 5649-1202

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Se-Jin Ahn, et al. Serial No.: 10/696,615 Filed: October 28, 2003

Filed: October 28, 2003 For: SEMICONDUC

SEMICONDUCTOR MEMORY DEVICES HAVING OFFSET TRANSISTORS

AND METHOD OF FABRICATING THE SAME

Date: February 10, 2004

Office of Patent Legal Administration Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## PETITION UNDER 37 C.F.R. § 1.10(e)

Sir:

As set forth in 37 CFR 1.10(c) and MPEP § 506.02, Applicant hereby petitions to grant the proper filing date of October 28, 2003 rather than October 29, 2003 for the above-identified patent application as this was the date the application was deposited as "Express Mail" in the U.S. Postal Service. The following is enclosed as evidence of October 28, 2003 being the correct filing date for the application, which was properly filed under 37 C.F.R. § 1.10:

- 1. A true and accurate copy of the Cover Sheet for the Application showing the Express Mail signature and date on Page 2; and
- 2. A true and accurate copy of the Express Mail Pickup Service Statement dated October 28, 2003.
- 3. A true and accurate copy of the official United States Postal Service (USPS) Track and Confirm page, confirming deposit with the USPS at 5:30 p.m. on October 28, 2003.

This petition is being filed promptly after applicant became aware of the improperly accorded filing date. Accordingly, Applicant respectfully requests the granting of October 28, 2003 as the filing date pursuant to 37 CFR 1.10.

On the basis that Applicant properly followed the requirements of 37 CFR § 1.10 and the USPTO failed to accord the proper filing date under MPEP § 505 and thus the need to file this petition is due to error on the part of the USPTO, it is applicant's belief that no fee is due

In re: Se-Jin Ahn et al. Serial No.: 10/696,615
Filed: October 28, 2003

Page 2 of 2

with this petition. However, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

D. Randal Ayers

Registration No. 40,493

USPTO Customer No. 20792 Myers Bigel Sibley & Sajovec, P.A. Post Office Box 37428 Raleigh, North Carolina 27627

Telephone: (919) 854-1400 Facsimile: (919) 854-1401

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Office of Patent Legal Administration, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 10, 2004.

Michele P. McMahan

	Customer Copy Label 11-F June 2002
ZU BESEPZEE V3	EXPRESS  MAIL  UNITED STATES POSTAL SERVICE® Post Office To Addressee  IDELIVER (EDOSTAL SERVICE)  Time Employee Signput Time
DRIGIN (ROSIFALE USE ONLY)  PO ZIP Code  Day of Delivery    Next	Delivery Attempt  Mo. Day
Westered Hostery  CUSTOMERUSECULY METHOD OF PAYMENT: Extress Mind Corporate Acct. No.  FROM: (PLEASE PRINT)  PHONE:  PYERS BIGEL SIBLEY & SAJOVEC PU BOX 37429  RALEIGH  NC 27527-742  S649-1202 DRA mpm 10/28/03	P.O. 100x 1400 Alexandria, VA 22313-1450
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1	-800-222-1811 www.usps.com。

Commissioner for Paterits  P.O. Box 1450 Alexandria, VA 22313-1450  Sir: Kindly acknowledge receipt of the accompanying items listed below  by placing your receiving stamp hereon and return mailing: by placing your receiving stamp hereon and return mailing:  Check \$ 1.540.00  Application Transmittal and:  Specification pages Ab  No. of Claims  Preliminary Amendment  Assignment and Fee - Opp  Assignment and Fee - Opp  Small Entity Statement  Appeal	
Formal Drawings/ 3 sheets  Associate Power of Attorney  Exp. Mail  Other:  Respectfully submitted,  MYERS BIGEL SIBLE: & SAJOVEC, P.A.  Attorneys for Applicant	

. .

the state of the s



<u>Home</u>

Track & Confirm Enter label number:

Track & Confirm FAQs (

## Track & Confirm

#### Shipment Details

You entered EV35 3593 238U S

Your item was delivered at 9:43 am on October 29, 2003 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by J STECKEL.

Here is what happened earlier:

- ARRIVAL AT UNIT, October 29, 2003, 7:45 am, DULLES, VA
- ENROUTE, October 28, 2003, 6:45 pm, RALEIGH, NC 27623
- ACCEPTANCE, October 28, 2003, 5:30 pm, RALEIGH, NC 27612

#### **Notification Options**

▶ Track & Confirm by email

What is this?



▶ Request Proof of Delivery

What is this?





**POSTAL INSPECTORS** Preserving the Trust

site map contact us government services Copyright @ 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5649-1202 DATE: October 28, 2003

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:
Transmitted herewith for filing under 37 CFR § 1.53(b) is:  a patent application  a Continuation a Divisional a Continuation-in-Part (CIP) Application of prior application no.:  ; filed, the disclosure of which is incorporated herein by reference in its entirety.  and claims priority to Korean Patent Application Serial No. 2002-66086; filed October 29, 2002.
Inventor(s) or Application Identifier:
Su-jin Ahn; Se-ho Lee; All of the Republic of Korea
Entitled: SEMICONDUCTOR MEMORY DEVICES HAVING OFFSET TRANSISTORS AND METHODS OF FABRICATING THE SAME
Myers Bigel Sibley & Sajovec, P.A.
P. O. Box 37428
Raleigh, North Carolina 27627 Telephone: (919) 854-1400
Facsimile: (919) 854-1401
Customer No. 20792
Enclosed are:
1. Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing)
2. 🔀 28 pages of Specification (including 44 claims)
3. Sheet of Formal Drawings (35 USC 113)
4.  Oath or Declaration  a.  newly executed (original or copy)
b. copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below] c. DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application)  5. Application Data Sheet. See 37 CFR 1.76
6. Incorporation By Reference (useable if box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  7. Microfiche Computer Program (Appendix)
7. Microfiche Computer Program (Appendix)

8. Assignment papers (cover sheet(s) and document(s))
9. Applicant claims small entity status. See 37 CFR § 1.27.
10. Information Disclosure Statement, PTO-1449, and references cited
11. Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)
12. English Translation Document
13. Certified Copy of Korean Application No.; Filed 14. Sequence Listing/ Sequence Listing
Diskette
a. Computer readable copy
b. paper copy
c. Statement in support
15. An Associate Power of Attorney
16. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
17. Other:
The fee has been calculated as shown below:

	Column 1	Column 2	Column 2 Small Entity		Large Entity	
	No. Filed	No. Extra	Rate	Fee	Rate	Fee
BASIC FEE			\$37	75.00	\$	770.00
TOTAL CLAIMS	44 - 20 =	24	x 09 = \$		x 18 = \$432.00	
INDEP CLAIMS	7 - 3 =	4	x 44 = \$		x 86 = \$34	4.00
MULTIPLE Depe	endent Claims Pres	ented	+ 140 = \$		+ 280 = \$	
If the difference in Col. 1	is less than zero, Ente	r "0" in Col. 2	Total \$		Total \$1,	546.00

	171 check in the amount of 3 to cover the tilling lee is enclosed.
$\boxtimes$	A check in the amount of \$1,546.00 is enclosed to cover the filing fee and a check in the amount of
	\$40.00 to cover the Assignment Recordation fee is enclosed.
	Please charge my Deposit Account No. 50-0220 in the amount of \$
$\boxtimes$	The Commissioner is hereby authorized to credit overpayments or charge the following fees
	associated with this communication to Deposit Account No. 50-0220:

a. Additional filing fees under 37 CFR 1.16 for presentation of extra claims.

b. Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

D. Randal Ayers

Registration No. 40,493

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 353593238US

Date of Deposit: October 28, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT

APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Michele P. McMahan

**EV353593238US**